

PROTON DECAY: THE MISSING LINK

(The Need For A NEXT
Generation Detector)

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REFERENCES:

JCP, Review Talk, hep-ph/0606089

Babu, Pati, Wilczek, hep-ph/9812538

Babu, Pati, Tavartkiladze — TO APPEAR

I. Introduction

A) Improved Studies of

- ① Proton Decay
- ② ν oscillations

2 indispensable tools to probe nature at the shortest distance $\sim 10^{-30}$ cm.

In a broader sense, these two processes get intimately connected with each other within Grand Unification.

In fact both are predictions of a well-motivated class of Grand Unification symmetries.

I will therefore begin by first listing evidence which has built up over the years in favor of Grand Unification, in particular for a certain class of Grand Unification symmetries.

B) Alt. Routes To Grand Unification

$$G(213) = SU(2)_L \times U(1)_Y \times SU(3)_C$$

$$\left(\begin{matrix} u_r & u_y & u_b \\ d_r & d_y & d_b \end{matrix} \right)_L^{1/3}, \left(u_{r,y,b} \right)_R^{4/3}, \left(d_{r,y,b} \right)_R^{-2/3}, \left(\begin{matrix} \nu_e \\ e^- \end{matrix} \right)_L^{-1}, \left(e^- \right)_R^{-2}$$

5 disconn. multiplets // Arb. Y_W , $SU(2)$, $SU(3)_C$ = Q. NOS.

$$G(224) = SU(2)_L \times SU(2)_R \times SU(4)_{L+R}^C \times (L \leftrightarrow R)$$

$$F_{L,R}^e = \begin{bmatrix} u_r & u_y & u_b & \nu_e \\ d_r & d_y & d_b & e^- \end{bmatrix}_{L,R} \quad \begin{matrix} F_L^e = (2, 1, 4) \\ F_R^e = (1, 2, 4) \end{matrix}$$

$$Q_{em} = I_{3L} + I_{3R} + \frac{B-L}{2}$$

Advantages of $G(224)$

- All 16 in one L-R Conj. multiplet // L-R Symm
- Explain Y_W & All Q. NOS. // Quantize Q_{em} //
- $Q_{e^-} = -Q_p$ // ν_R // B-L $\leftarrow$ Need For Seesaw & Leptogenesis

$$\downarrow$$

$$SO(10): 16 \text{ (one coupling)}$$

All Advantages of $G(224)$ retained by $SO(10)$, not $SU(5)$

$$SU(5): \bar{5} + 10$$

NO ν_R , NO B-L

} Problem with ν Masses & Leptogenesis.

C) EVIDENCE FOR GRAND UNIFICATION

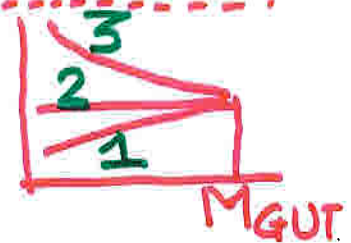
1) Family structure - Quantum Nos.

2) Charge Quantization

3) $Q_{e^-} = -Q_p$

4) Meeting of The 3 gauge Couplings
 SUSY Grand Unification

$$M_{GUT} \approx 2 \times 10^{16} \text{ GeV}$$



$$\sqrt{\Delta m^2(\nu)_{23}} \approx 1/20 \text{ eV}$$

$\leftrightarrow SU(4)^c \rightarrow \nu_R // B-L$
 $m(\nu^c)_{\text{Dirac}} //$
 $M_{GUT} \rightarrow \text{Major } \nu_R$
 Seesaw

6) $m_b(GUT) = m_\tau$

7) $m(\nu^c)_{\text{Dirac}} = m_{\text{top}}(GUT)$

8) $\Theta(\nu_\mu - \nu_\tau) \approx \pi/4 \leftrightarrow V_{cb} \approx 0.04$

9) LEPTOGENESIS $\rightarrow Y_B \sim 10^{10} \rightarrow \nu_R / B-L$

$\rightarrow SU(4)^c \uparrow$
 $SU(10) // G(224)$

SUCCESS OF ALL 9 FEATURES NON-TRIVIAL!

TOGETHER MAKE A STRONG CASE FOR SUSY
 GRAND UNIF // SIMULT. FOR AN EFF. SYMMETRY
 LIKE $SU(10)$ OR MINIMALLY A STRING-DERIVED
 $G(224)$ SYMMETRY

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II) SO(10) BREAKING / FERMION MASSES / ν OSC

Babu, Pati, Wilczek

SO(10) $\langle 45 \rangle, \langle 16_H \rangle = \langle \bar{16}_H \rangle \rightarrow G(213) \xrightarrow{\langle 10_H \rangle} U(1) \times SU(3)^c$

LOWEST DIM. HIGGSSES
(NO $126, 120, 210 \dots$)

YUKAWA: $\left\{ \begin{array}{l} 16_i 16_j 10_H \\ 16_i 16_j 10_H \cdot 45_{H/M} \\ 16_i 16_j 16_H 16_{H/M} \end{array} \right\}$

HIERARCHICAL BY
U(1) FLAVOR SYMM
(S/M) $^{n_{ij}}$

"33" $\gg$ "23" $\sim$ "32"
 $\gg$ "22" $\gg$ "12" ETC.

$16_i 16_j \bar{16}_H \bar{16}_H / M \rightarrow \text{MAJ. MASS } (\nu_R)$

PATTERN DET. BY SO(10) // G(224) AND FLAVOR SYM.



- ① A PREDICTIVE FRAMEWORK FOR FERMION MASSES // ν OSC. } BPW
- ② CP & FLAVOR VIOLS ($B-\bar{B}, \epsilon'/\epsilon, \text{edm}, \mu \rightarrow e\gamma$) } Babu, Pati, Rastogi
- ③ LEPTOGENESIS: $Y_B / \sin 2\phi \sim (10-100) \times 10^0$ JCP



- ④ A PREDICTIVE FRAMEWORK FOR PROTON DECAY
- $d=6 \rightarrow p \rightarrow e^+ \pi^0$

$d=5 \rightarrow p \rightarrow \bar{\nu} K^+$

Summary on Fermion Masses & Mixings (Babu, Pati, Wilczek)

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Predictions

$$m_b(m_b) \approx (4.7 - 4.9) \text{ GeV}$$

$$m(\nu_\tau) \sim (1/24 \text{ eV}) (\frac{1}{2} - 2)$$

$$V_{cb} \approx 0.043$$

$$\sin^2 2\theta_{\nu_\mu \nu_\tau}^{\text{osc}} \approx \boxed{0.92}_{\text{SMA}} \leftrightarrow \boxed{0.99}_{\text{LMA}}$$

$$V_{us} \approx 0.21$$

$$|V_{ub}| \approx 0.0032$$

$$m_d(1 \text{ GeV}) \approx 8 \text{ MeV}$$

Observations

$$\approx 4.2 \text{ GeV}$$

$$\approx (1/15 - 1/25) \text{ eV} \quad (\otimes)$$

$$\approx 0.04$$

$$\approx 0.92 \leftrightarrow 1$$

$$\approx 0.22$$

$$\approx 0.003 - 0.004$$

$$\approx 8 - 10 \text{ MeV}$$

$$m(\nu_\mu) \approx (2 - 10) \times 10^{-3} \text{ eV} \leftrightarrow \begin{cases} \text{SMA} \sim 3 \times 10^{-3} \text{ eV} \\ \text{LMA} \approx 7 \times 10^{-3} \text{ eV} \end{cases}$$

$$m(\nu_e) \sim (1 \text{ to few}) \times 10^{-3} \text{ eV}$$

consistent with the framework

$$M(\nu_R^e, \nu_R^u, \boxed{\nu_R^e}) \approx (10^{15}, 2 \times 10^{12}, (1/3 - 3) \times 10^{10} \text{ GeV})$$

Just right for Lepto/Baryogenesis

NOTE 22: Masses Necessarily Hierarchical.

Summary on Fermion Masses & Mixings in the $E(224)/SO(10)$ Framework

Given the bizarre pattern of masses & mixings of quarks, charged leptons and neutrinos, it seems remarkable that the simple pattern of fermion mass matrices*, motivated in large part by the group th of $E(224)/SO(10)$ and the assumption of minimality of ^{the} _{system} Higgs, makes 7 predictions in agreement with observation.

→ Study Proton Decay // Leptogenesis // CP within this framework.

* Need to understand the origin of flavor symmetries.
→ Hierarchical entries.

III PROTON DECAY: HALLMARK OF GRAND UNIFICATION

① $d = 6$ Gauge Med. SUSY SU(5)/SO(10)

$$M_X \approx M_Y \\ \approx 10^{16} \text{ GeV} \\ (1 \pm 25\%)$$



$$\text{Amp } (p \rightarrow e^+ \pi^0) \\ \propto g^2 / M_X^2$$

$$\text{Chiral Lag Param } (D+F) \simeq 1.25 // AR \simeq 3.4$$

$$\alpha_H = 0.01 \text{ GeV}^3 \\ (\sqrt{2} - \sqrt{2})$$

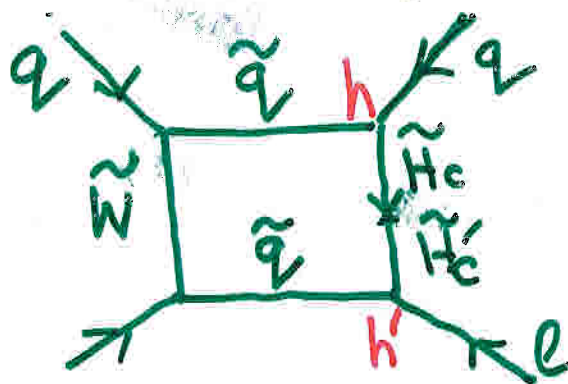
$$\bar{\Gamma}^1(p \rightarrow e^+ \pi^0) \simeq 10^{35 \pm 1} \text{ yrs (Theory)}$$

Can be as short as $\sim 10^{34}$ yrs.

② SUSY SU(5) / SO(10) Standard $d=5$

Color Triplet Higgsino Med Sakai, Yanagida, Weinberg

Need
D-T
Splitting



$$\tilde{H}_c \subset (10_H)_{SO(10)} \\ = (2, 2, 1) + (1, 1, 6)$$

$$\text{Amp} \propto (h h' / M_{H_c}) (m_{\tilde{W}} / m_{\tilde{q}}^2) \alpha_2$$

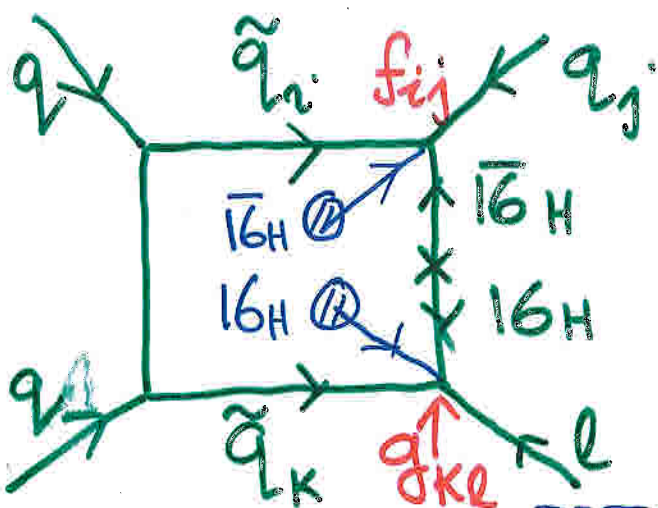
$$p \rightarrow \bar{\nu} K^+ \text{ (dominant)}$$

$$\rightarrow \mu^+ K^0 \text{ (Suppressed, BR} \sim 10^{-5})$$

$$\bar{\Gamma}^1(p \rightarrow \bar{\nu} K^+) \sim 10^{30} - 10^{34} \text{ yrs.}$$

③ New $d=5$ ν -Mass Related operators

Babu, Pati, Wilczek



$$f_{ij} 16_i 16_j 16_H 16_H / M$$

Major Masses of ν_R 's

Generically $16_i 16_H$ in 45 & 1 of $SU(10)$

$$g_{kl} 16_k 16_l 16_H 16_H / M$$

$$\Rightarrow V_{CKM} \neq 1$$

Indep of $\tan\beta$

$$\Gamma^1(p \rightarrow \bar{\nu} K^+)_{\text{New } d=5} \approx 10^{-33} - 10^{-34} \text{ yrs} \quad \text{SUSY } SU(10) \text{ or } G(224)$$

$$BR(p \rightarrow \mu^+ K^0)_{\text{New } d=5} \approx (10 - 30)\%$$

Note these Contributions from new $d=5$ would generically be present, even if "Standard" $d=5$ (with color triplets $C1Q_H$) absent, as in SUSY $G(224)$!

The $\mu^+ K^0$ mode a signature of this mechanism.

B) d=5 proton decay rate calculation * 8

Babu, Pati, Wilczek (99) // Dermisek, Raby (2000) //
Lucas & Raby // Pati (2001 - Ph/0106082) //
June 2003 - Ph/0305221 // KEK Talk Ph/0407220 //

Incorporate Dep. of d=5 p-decay amp. on

- Masses & Mixings of All Fermions

- Unif. Scale Threshold Effects Incl. D-T splitting $\leftrightarrow$ Natural Coupling Unif.

- Take

① $|B_H| \simeq |\alpha_H| = (0.01 \text{ GeV}^3)(\sqrt{2} - \sqrt{2})$

② $\tan \beta \gtrsim 3$

③ $A_L \simeq 0.32$ (2 Loop)

④ $A_S \simeq 0.93$

⑤ $m_{\tilde{q}} \simeq 1.2 \text{ TeV} (\sqrt{2} - 2)$ (Focus Point)

⑥ $(m_{\tilde{W}}/m_{\tilde{q}}) \approx 1/6 (\sqrt{2} - 2)$

Lattice // Domain
Wall Fermions +
Non-Pert Ren
Quenching error small
 $|\alpha_H| \simeq |B_H| \simeq 0.01 \text{ GeV}^3$
Aoki et al (2006)

* Dimopoulos, Raby, Wilczek (82) // Ellis, Nanopoulos, Rudez //
Nath, Chamseddine, Arnowitt // Nath, Arnowitt (97) //
Hisano, Murayama, Yanagida (93) // Hisano (2004) //
Babu, Barr (95) //

c) Doublet-Triplet Splitting in SO(10) & Meff

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Dimopoulos, Wilczek // Babu, Barr
Barr, Raby // Babu, Pati, Wilczek

$$W_H = \lambda 10_H 45_H 10'_H + M_{10} 10'^2_H + M_{16} 16_H \bar{16}_H + \lambda' \bar{16}_H \bar{16}_H 10_H$$

$$\langle 45_H \rangle = (a, a, a, 0, 0) \times \tau_2 ; a \sim M_{GUT}$$

$$\langle 45_H \rangle \propto B-L \rightarrow \langle (1, 1, 15) \rangle = (a, a, a, -3a).$$

$$(\bar{5}_{10_H} \quad \bar{5}_{10'_H} \quad \bar{5}_{16_H}) \begin{bmatrix} 0 & \lambda \langle 45_H \rangle & \lambda' \langle \bar{16}_H \rangle \\ -\lambda \langle 45_H \rangle & M_{10} & 0 \\ 0 & 0 & M_{16} \end{bmatrix} \begin{pmatrix} 5_{10_H} \\ 5_{10'_H} \\ 5_{16_H} \end{pmatrix}$$

⇒ One pair of Higgs doublets light, triplets Heavy

$$H_u = 10_u ; H_d = \cos \gamma 10_d + \sin \gamma 16_d$$

$$(a) \tan \gamma = \lambda' \langle \bar{16}_H \rangle / M_{16} \Rightarrow \tan \beta = (\cos \gamma) (m_t / m_b)$$

$$(b) A(d=5)_{std} \approx (h^2_{33} / M_{eff}) (2 \times 10^5)$$

$$M_{eff} \equiv (\lambda a)^2 / M_{10'} \approx M_{GUT}^2 / M_{10'}$$

$$\Rightarrow M_{eff} \neq M_{HC} ; \text{ If } M_{10'} < M_{GUT} \Rightarrow M_{eff} > M_{GUT}$$

D) STABILIZING D-W // CORRELATING

 M_{EFF} & M_X , & THUS $d=5$ & $d=6$

Babu, Pati, Tavaré Kiladze (To appear)

Combine BPW (Single $45_H, 16_H, \bar{16}_H, 10_H, \bar{10}_H$)	+	Barr, Raby Add $16' + \bar{16}'$
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$$\bar{16}_H \cdot 45_H \cdot 16'_H$$

 $U(1)_{\text{ANOM}} \times Z_2 \rightarrow$ Desired Superpotential $\rightarrow$ NO UNDESIRABLE PGB $\rightarrow$ D-W PATTERN STABLE // TO ALL HIGHER ORDER OPERATORS

$$\rightarrow \delta \alpha_3(m_Z) - \text{ve AS DESIRED} \rightarrow \boxed{\frac{m_\Sigma}{m_X} \sim 10^{-2}}$$

+ AN INTERESTING CORRELATION $M_{\text{eff}} \leftrightarrow M_X$

$$M_{\text{eff}} \approx 10^{18} \text{ GeV} \left(\frac{10^{16} \text{ GeV}}{M_X} \right)^3 \left(\frac{3}{\tan \beta} \right) \left(\frac{1/25}{r} \right) \times$$

$$\times f(p) \exp[2\pi \Delta_{2,\text{EW}}^{(2)} - \Delta_{3,\text{EW}}^{(2)} - (\delta \alpha_3^{\text{ext}})^{-1}]^{1.4} \times 10^{-2}$$

$$\alpha_3^{-1} = (\alpha_3^{(0)})^{-1} - \frac{1}{2\pi} \left[\ln \frac{M_{\text{eff}}}{M_X^{(0)}} + 3 \ln \frac{(M_X^2 M_\Sigma)^{1/3}}{M_X^{(0)}} + \ln \frac{16}{9} \frac{\tan \beta}{59} \right]$$

$$r \equiv m_\Sigma / m_X$$

D-T SPLITTING & SUPERPOTENTIAL

Modified Barr, Raby

Babu, JCP, Tavartkiladze

$$\left\{ \underset{\text{A}}{45_H}, \underset{\text{C}}{16_H}, \underset{\bar{\text{C}}}{\bar{16}_H}, \underset{\text{H}}{10_H}, \underset{\text{H}'}{10'_H} \right\}, \left\{ \underset{\text{C}'}{16'}, \underset{\bar{\text{C}}'}{\bar{16}'} \right\}, (\text{S}, \text{Z})$$

singlets

$$W(A) = M_A A^2 + \frac{\lambda}{M_*} A^4$$

$$W(A, C, C') = C \left[\frac{a_1 Z A}{M_*} + \frac{b_1 C \bar{C}}{M_*} + c_1 S \right] \bar{C}'$$

$$+ C' \left[a_2 \downarrow \quad b_2 \downarrow \quad c_2 \downarrow \right] \bar{C}$$

$$W(H, H') = \boxed{H A H'} + \frac{S^K}{M_*^{K-1}} (H')^2 + H \bar{C} \bar{C}$$

$U(1)_A$	A	H	H'	C	$\bar{C}$	C'	$\bar{C}'$	Z	S
	0	1	-1	$\frac{K+4}{2}$	$-\frac{1}{2}$	$-\frac{K+2}{2}$	$-\frac{2K+7}{2}$	$\frac{K+3}{2}$	$\frac{K+3}{2}$
$\mathbb{Z}_2$	-	+	-	+	+	+	+	-	+

$\Rightarrow$ NO operator in any order allowed
Which could upset DW VEV Pattern.

$$\langle A \rangle = i \sigma_2 \otimes \text{Diag}(a, a, a, 0, 0)$$

SUSY SPECTRUM

ASSUME FAMILY UNIVERSAL PARAMETERS
AT HIGH SCALE $M^* \approx M_{GUT}$

Like CMSSM, $\rightarrow$ MIN. SUGRA
GAUGE/GAUGINO MED
Family UNIV. ANOMALOUS U(1)

$m_0, m_{1/2}, \mu, \tan\beta$

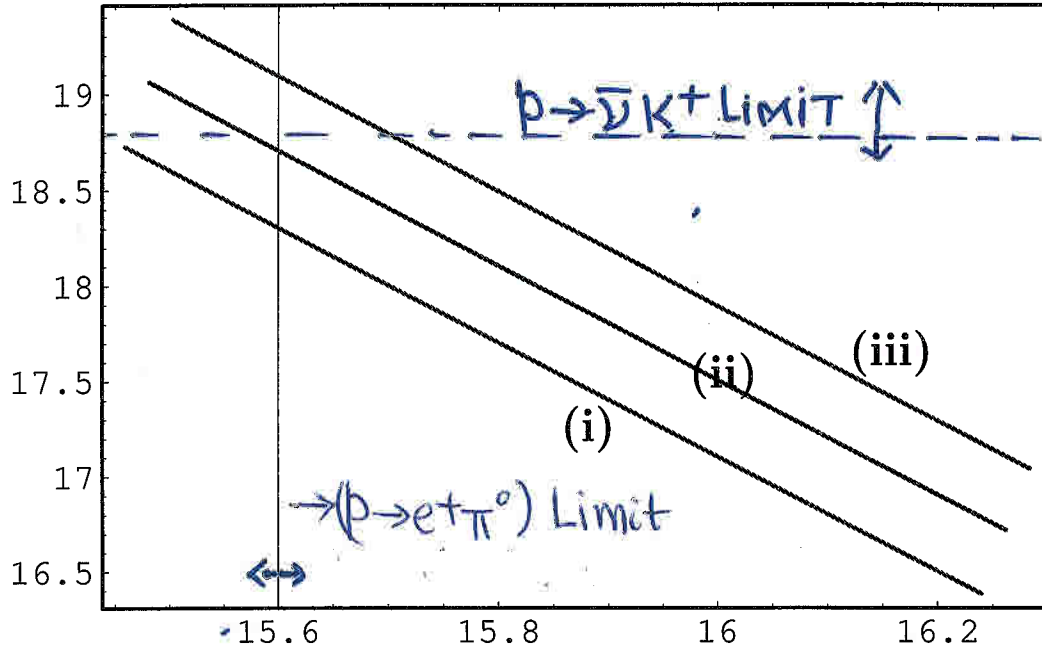
Consider A Range

Case 1 $m_0 \approx 300 \text{ GeV}, m_{1/2} \approx 352 \text{ GeV}$
 $\mu = 1 \text{ TeV}, \tan\beta = 3$

Case 2 $m_0 \approx 930 \text{ GeV}, m_{1/2} \approx 147 \text{ GeV}$
 $\mu = 1 \text{ TeV}, \tan\beta = 3$

$\text{CONTROLS } p \rightarrow \bar{\nu} K^+$

$\text{Log}_{10} \left(\frac{M_{\text{eff}}}{\text{GeV}} \right)$



- (i): $\alpha_3 = 0.1156$
- (ii): $\alpha_3 = 0.1176$
- (iii): $\alpha_3 = 0.1196$

$\text{Log}_{10} \left(\frac{M_X}{\text{GeV}} \right) \rightarrow \text{CONTROLS } p \rightarrow e^+ \pi^0$

Figure 1: $r = 1/25$, $\tan \beta = 3$.

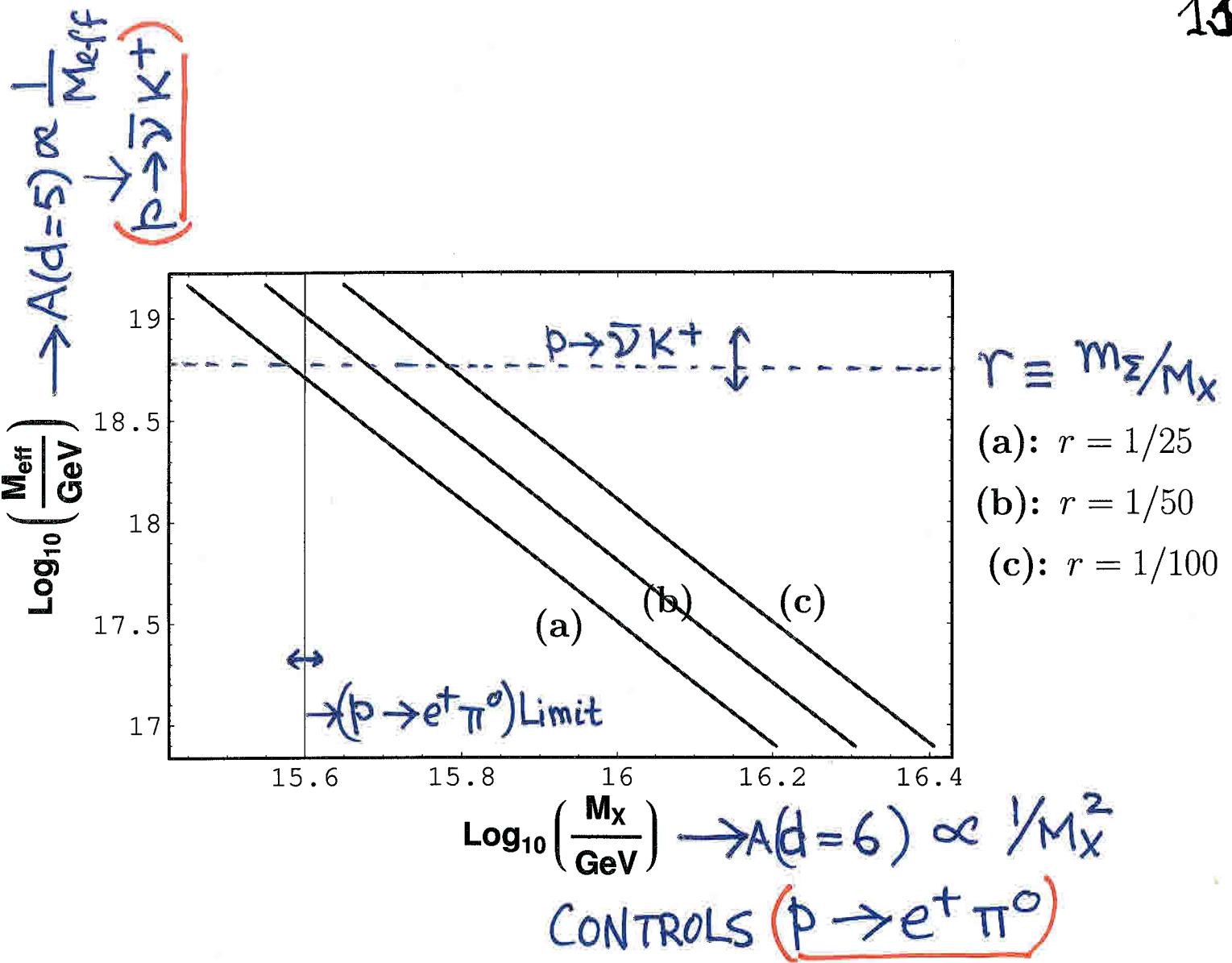


Figure 1: $\alpha_3(M_Z) \simeq 0.1176$, $\tan \beta = 3$.

$$\langle 45_H \rangle = (a, a, a, 0, 0) \times \tau_2$$

$$\langle 16_H \rangle = c$$

$$p \equiv 2c/a$$

Expect

$$p \sim \mathcal{O}(1)$$

$$M_{X,Y}^2 = g_{10}^2 a^2, \quad M_{X,Y'}^2 = M_X^2 (1+p^2)$$

$$\Gamma(p \rightarrow e^+ \pi^0) \simeq \left[\frac{m_p}{64 \pi f_\pi^2} \right] (1+D+F)^2 \alpha_{\text{Had}}^2$$

$$\left(\frac{g_{\text{GUT}}^2 A_R}{M_X^2} \right)^2 \left[5 + \frac{2}{1+p^2} + \frac{1}{(1+p^2)^2} \right]$$

SU(5)
limit

$$\Phi(p) = 25/4 \text{ For } p=1$$

$$\begin{aligned} \tilde{\Gamma}(p \rightarrow e^+ \pi^0) &= (0.8 \times 10^{35} \text{ yrs}) \left(\frac{\alpha_H}{0.01 \text{ GeV}^3} \right)^{-2} \left(\frac{\alpha_{\text{GUT}}}{1/23} \right)^{-2} \\ &\times \left(\frac{A_R}{3.2} \right)^{-2} \left(\frac{M_X}{10^{16} \text{ GeV}} \right)^4 \left[\frac{\Phi(p)}{25/4} \right]^{-2} \end{aligned}$$

$$\tilde{\Gamma}(p \rightarrow e^+ \pi^0)_{\text{SO(10)}} \simeq 0.8 \times 10^{35 \pm 1} \text{ yrs}$$

Note $\Phi(p)$ varies from 5 to 8 as $p \rightarrow \infty$ to 0

SOC10) $d=5$

$$\bar{\Gamma}'(p \rightarrow \bar{\nu}_\tau K^+)$$

$$\approx (3 \times 10^{32} \text{ yrs}) \times \left(\frac{.32}{A_L}\right)^2 \times \left(\frac{.93}{A_S}\right)^2 \times \left(\frac{.01}{\beta_H}\right)^2$$

$$\times \left[\left(\frac{1}{6}\right)/m_{\tilde{W}}/m_{\tilde{Q}}\right]^2 \times \left[\frac{m_{\tilde{Q}}}{1.2 \text{ TeV}}\right]^2 \times \left[\frac{M_{\text{eff}}}{8 \times 10^{18} \text{ GeV}}\right]^2$$

Uncertainty $\approx (1/4 - 4)(1/4 - 4)(1/4 - 2)(1/2 - 2)$
 $\approx (1/128 - 64)$

$$\Rightarrow \bar{\Gamma}'(p \rightarrow \bar{\nu}_\tau K^+) \Big|_{\text{SOC10}} \approx 2 \times 10^{30} - 2 \times 10^{34} \text{ yrs.}$$

SUMMARY ON PROTON DECAY (d=5)

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SUSY Min. SU(5)
CMSSM

$$\bar{\Gamma}^I(p \rightarrow \bar{\nu} K^+) < 10^{32} \text{ yrs}$$

EXCLUDED BY SUPERK

SUSY SO(10)
CMSSM

$$\left\{ \begin{array}{l} \bar{\Gamma}^I(p \rightarrow \bar{\nu} K^+)_{\text{Median}} \lesssim 2 \times 10^{33} \text{ yrs} \\ \bar{\Gamma}^I(p \rightarrow \bar{\nu} K^+)_{\text{Heavy}} < 2 \times 10^{34} \text{ yrs} \end{array} \right.$$

TIGHTLY CONSTRAINED
std
d=5

SUSY SO(10)
CESSM

$$\left\{ \begin{array}{l} \bar{\Gamma}^I(p \rightarrow \bar{\nu} K^+)_{\text{Median}} \lesssim 2 \times 10^{34} \text{ yrs} \\ \text{HEAVY} \lesssim 2 \times 10^{35} \text{ yrs} \end{array} \right.$$

std.
d=5

SUSY G(224)/SO(10)
CMSSM or CESSM

$$\bar{\Gamma}^I(p \rightarrow \bar{\nu} K^+)_{\text{Median}} \approx 10^{33} - 10^{34} \text{ yrs}$$

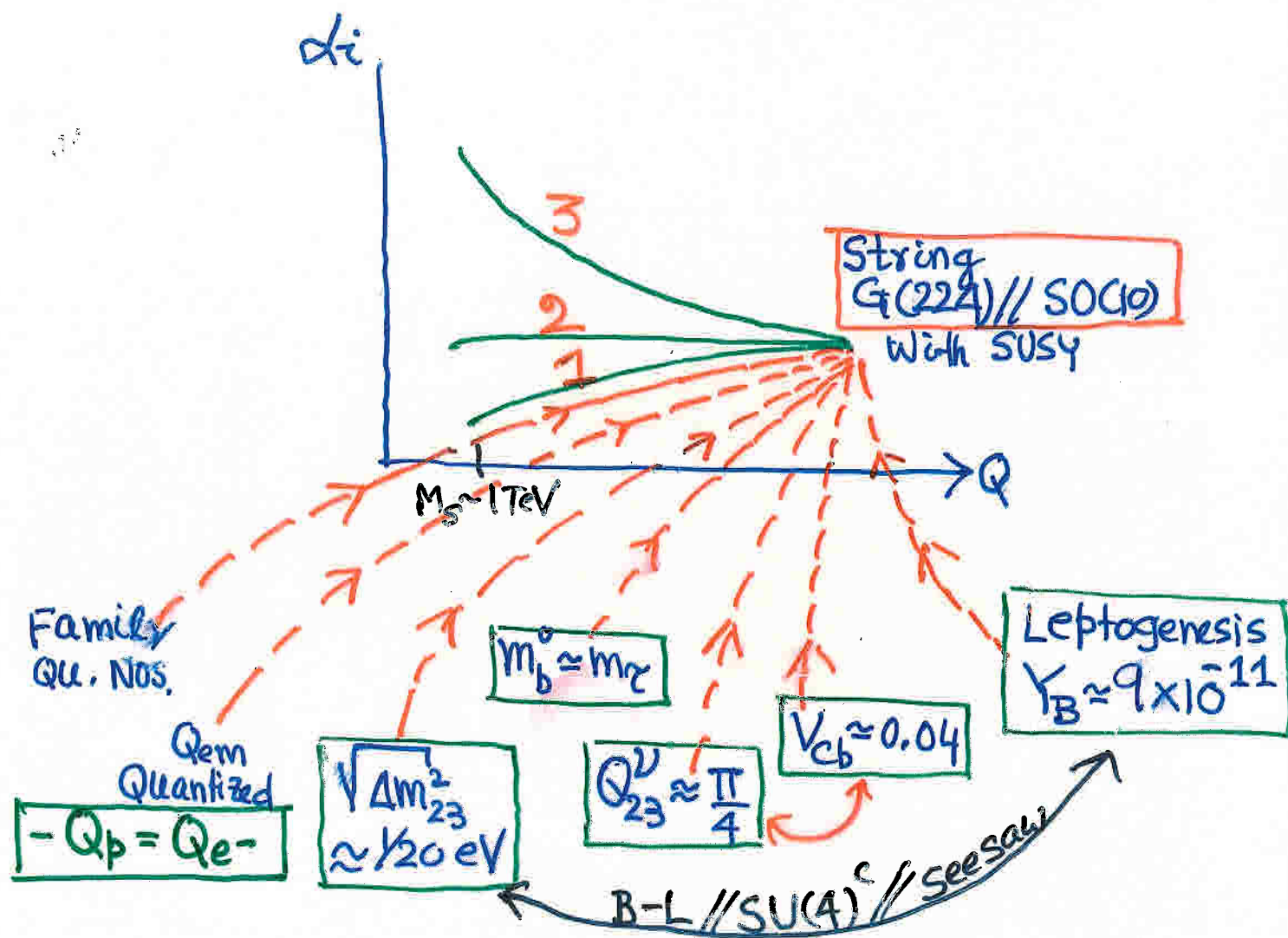
NEW
ν-Rel
d=5

Last 3 Fully Compatible with present limits // MUST BE SEEN WITH IMPROVEMENT
By FACTOR 5-10 // OR ELSE MAJOR SET-BACK

d=6

SUSY SU(5) $\rightarrow \bar{\Gamma}^I(p \rightarrow e^+ \pi^0) \approx 10^{35.3 \pm 1} \text{ yrs}$

SUSY SO(10) $\rightarrow \bar{\Gamma}^I(p \rightarrow e^+ \pi^0) \approx 0.8 \times 10^{35 \pm 1} \text{ yrs}$



All these features hang together neatly within a single unified framework $\rightarrow$ Hard to believe this can be a mere coincidence.

Rendezvous Incomplete $\rightarrow$ Two Missing Links

- 1) Supersymmetry $\rightarrow$ LHC
- 2) Proton Decay $\rightarrow$ Need Next Gen. Detector.

PROTON DECAY THE HALLMARK OF GRAND UNIF.

Provides a Unique Window To View
Physics at truly short dist $\lesssim 10^{-30}$ cm

$$p \rightarrow \bar{\nu} K^+ \text{ If seen } \Rightarrow \begin{cases} q-l, q-\bar{e}, q-\bar{\nu} \text{ unif} // \\ \text{SUSY UNIF} // \\ M_X \sim 10^{16} \text{ GeV} \end{cases}$$

$$p \rightarrow \mu^+ K^0 \text{ If seen } \Rightarrow \begin{cases} \nu \text{ MASS Rel op} \\ \text{IMPORTANT} \end{cases}$$

$$\left. \begin{array}{l} \text{If } e^+ \pi^0 \text{ not seen} \\ \text{Say upto } 10^{36} \text{ yrs, but} \\ \bar{\nu} K^+ \text{ seen} \end{array} \right\} \Rightarrow \begin{cases} \text{EFF. } G(224) \text{ \& } \\ \nu \text{ MASS Rel} \\ \alpha = 5 \text{ IMPORTANT} \end{cases}$$

THUS PROTON DECAY IF SEEN
WILL BRING A WEALTH OF KNOWLEDGE
THAT CAN NOT BE GAINED BY ANY
OTHER MEANS

↓
DISCOVERY POTENTIAL HIGH

↓
MEGATON SIZE DETECTOR
SENSITIVE TO PROTON DECAY
& ν oscillations & ...!

A.O

Background Materials

FLAVOR SYMMETRY: $SU(10) \times U(1)_F$ A.1

BPW MODEL

Assume Hierarchical Yukawa Couplings

$$"33" \gg "23" \sim "32" \gg "22" \gg "12" \text{ etc.}$$

↑
Due to Flavor symmetry $U(1)_F$

$$16_3 \quad 16_2 \quad 16_1 \quad 10_H \quad 16_H \quad \bar{16}_H \quad 45_H \quad S$$

$$U(1)_F \Rightarrow a \quad a+1 \quad a+2 \quad -2a \quad -a-\frac{1}{2} \quad -a \quad 0 \quad -1$$

$$\text{Thus, } 16_3 \underset{a}{16_3} \underset{-2a}{10_H} \rightarrow \text{Allowed} \sim 1$$

$$16_3 \quad 16_2 \quad 10_H \quad \langle S/M \rangle \rightarrow \left(\frac{M_{GUT}}{M_{st}} \sim 1/10 \right)$$

$$\langle S \rangle \sim M_{GUT}, \quad M \sim M_{string}$$

A Concrete Example: Minimal Higgs: $\{45_H, 16_H, \bar{16}_H, 10_H\} + "S"$ A.2

1st ^{consider} Only μ & τ Families (Flavor sym: $\mu \neq \tau$) (BPW)

$$\begin{aligned}
 \mathcal{L}_{\text{mass}} &= h_{33} 16_3 16_3 \langle 10_H \rangle \rightarrow \text{3rd Family: } m_b^0 = m_\tau^0 \\
 &\leftarrow + \tilde{h}_{23} 16_2 16_3 \langle 10_H \rangle \langle S/M \rangle \rightarrow \text{2nd Family} \\
 &\leftarrow + a_{23} 16_2 16_3 \langle 10_H \rangle \langle \frac{45_H}{M} \rangle \rightarrow m_\mu^0 \neq m_s^0 \\
 &\quad \text{ANTISYMMETRIC, } \propto B-L \\
 &\leftarrow + g_{23} 16_2 16_3 \langle 16_H \rangle \langle 16_H \rangle_{EW}^D / M \\
 &\quad \hookrightarrow \text{CKM} \neq 1
 \end{aligned}$$

$$10_H \rightarrow (2 \leftrightarrow 2) \ll (2 \leftrightarrow 3) \ll (3, 3) \text{ Flavor}_{\text{sym}}$$

$$U = \begin{pmatrix} c & t \\ 0 & \epsilon + \sigma \\ -\epsilon + \sigma & 1 \end{pmatrix} m_U^0 \quad D = \begin{pmatrix} s & b \\ 0 & \epsilon + \eta \\ -\epsilon + \eta & 1 \end{pmatrix} m_D^0$$

$$N = \begin{pmatrix} \nu_\mu & \nu_\tau \\ 0 & -3\epsilon + \sigma \\ +3\epsilon + \sigma & 1 \end{pmatrix} m_U^0 \quad L = \begin{pmatrix} \mu & \tau \\ 0 & -3\epsilon + \eta \\ 3\epsilon + \eta & 1 \end{pmatrix} m_D^0$$

Note $q-l$ correlation ($SU(4)$ -color)
 up-down $\gg$ ($SU(2)_L \times SU(2)_R$)

$$\boxed{\eta \equiv \hat{\eta} + \sigma}$$

Dirac Masses (3 Families)

A.3

$$U = \begin{pmatrix} 0 & \epsilon' & 0 \\ -\epsilon' & 0 & \epsilon + \sigma \\ 0 & -\epsilon + \sigma & 1 \end{pmatrix} m_D^0 ; D = \begin{pmatrix} 0 & \epsilon + \eta' & 0 \\ -\epsilon + \eta' & 0 & \epsilon + \eta \\ 0 & \epsilon + \eta & 1 \end{pmatrix} m_D^0$$

$$N = \begin{pmatrix} 0 & -3\epsilon' & 0 \\ 3\epsilon' & 0 & -3\epsilon + \sigma \\ 0 & 3\epsilon + \sigma & 1 \end{pmatrix} m_D^0 ; L = \begin{pmatrix} 0 & \epsilon + \eta' & 0 \\ -\epsilon + \eta' & 0 & -3\epsilon + \eta \\ 0 & +3\epsilon + \eta & 1 \end{pmatrix} \times m_D^0$$

2 New param (ϵ', η'), but 5 new Observables just in (q, l) System $\Rightarrow$ 3 New predictions for (q, l) // With $\epsilon' = 0 \rightarrow m_u \rightarrow 0$

ν Majorana Masses

$$M_R^\nu = \begin{pmatrix} x & 0 & z \\ 0 & 0 & y \\ z & y & 1 \end{pmatrix} M_R$$

Saw before,

$$M_R \approx 10^{15} \text{ GeV}$$

Expect $y \sim 1/10$

$$f_{ij} 16_i 16_j \langle \overline{16}_H \rangle \langle \overline{16}_H \rangle / M_{St}$$

$$f_{ij} \nu_{iR}^T \bar{c}' \nu_{jR} \langle \overline{16}_H \rangle^2 / M_{St}$$

$$(M_R^\nu)_{ij} = f_{ij} \langle \overline{16}_H \rangle^2 / M_{St}$$

Note same hier. pattern as in Dirac Sect

Including $m_0^0 \rightarrow$ 7 param ($\eta, \epsilon, \delta, \eta', \epsilon', m_0^0, m_D^0$)
describing $9 \times 4 = 36$ entries $\rightarrow$ Will it work?

Input! Assume all param real for a moment

$$m_t^{\text{phys}} = 174 \text{ GeV} ; m_c(m_c) = 1.37 \text{ GeV},$$

$$m_s(1 \text{ GeV}) = 116 \text{ MeV}, m_u, m_d, m_u(m_x) = 1.5 \text{ MeV}, m_e$$



$$\delta \approx 0.110, \eta \approx 0.151, \epsilon \approx -0.095,$$

$$\epsilon' = \sqrt{m_u/m_c} (m_c/m_t) \approx 2 \times 10^{-4}; \eta' = \sqrt{m_d/m_u} (m_c/m_t) \approx 4 \times 10^{-3}$$

$$m_0^0 = m_t(m_x) \approx 110 \text{ GeV}; m_D^0 \approx 1.5 \text{ GeV}$$

Majana Mass of χ_R' s: $f_{ij} 16_i 16_j \bar{16}_H \bar{16}_H / M$

$$\Rightarrow f_{ij} (\nu_R^{iT} \bar{e}' \nu_R^j) < 16_H^2 / M$$

$$M_R^\nu = \begin{pmatrix} x & 0 & z \\ 0 & 0 & y \\ \bar{z} & y & 1 \end{pmatrix}$$

6 New observables.

$\xrightarrow{\text{determined by } m_{\nu_2}/m_{\nu_3} \approx 1/6}$

$\boxed{M_R}$

$\downarrow$ Calculated $\approx 5 \times 10^{14} \text{ GeV}.$

SMA or LMA ?

A.5

Just with standard See-Saw ν_L masses,
SMA rather generic

$$m(\nu_L^e) \sim 2 \times 10^{-5} - 2 \times 10^{-6} \text{ eV} // m(\nu_L^\mu) \sim 3 \times 10^{-3} \text{ eV}$$

$$\Theta_{\nu_e \nu_\mu}^{\text{osc}} = \Theta_{e\mu}^L - \Theta_{e\mu}^\nu \approx 0.05$$

Situation alters once allow for direct Maj. masses of ν_L 's — Most likely to arise through Higher Dim. op. involving GUT & EW VEV's — Through tiny $\sim 10^{-3} \text{ eV}$ entries $\rightarrow$ IMPORTANT For $(\nu_e - \nu_\mu)$

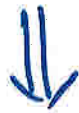
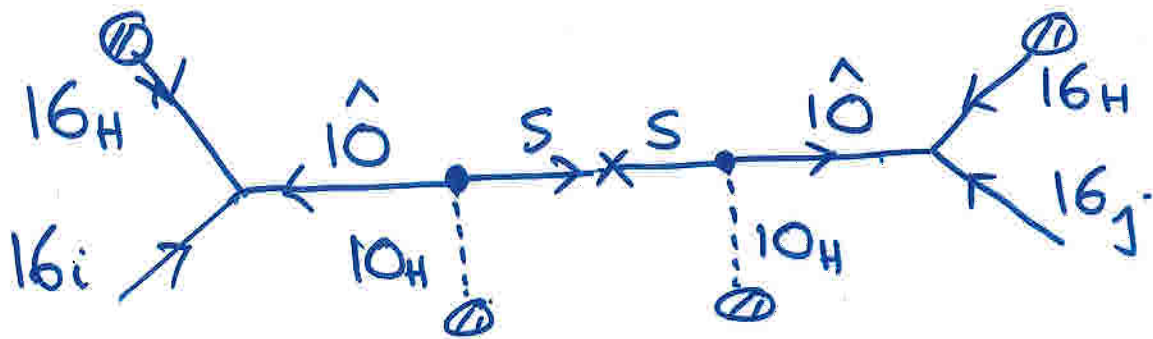
$$W \supset g_{12} \underbrace{16_1 16_2}_{\nu_L^e \nu_L^\mu} \underbrace{16_H 16_H}_{(\langle \tilde{\nu}_{RH} \rangle / M_{\text{GUT}})^2} \underbrace{10_H 10_H}_{\nu_u^2} / M_{\text{GUT}}^3$$

$$\sim g_{12} (\nu_L^e \nu_L^\mu) (1.5 - 6) \times 10^{-3} \text{ eV} \quad (\sim 175 \text{ GeV})^2 \quad (\langle 16_H \rangle \approx (1-2) M_{\text{GUT}})$$

$$\left[\begin{array}{cc} \nu_L^e & \nu_L^\mu \\ \approx 0 & (3-4) \\ (3-4) & 7 \end{array} \right] \times 10^{-3} \text{ eV} \Rightarrow \left[\begin{array}{c} \Theta_{\nu_e \nu_\mu}^\nu \approx 1/2 \\ \sin^2 2\Theta_{\nu_e \nu_\mu}^{\text{osc}} \approx 0.7 \end{array} \right] \text{ Quite Plausible}$$

Thus LMA not strictly a prediction, but perfectly plausible within the framework.

GUT-SCALE EFFECTIVE OPERATORS



$$g_{ij} 16_i 16_j 16_H 16_H 10_H 10_H / (M_{10}^2 M_S)$$

← .

Θ_{13}

$$m(\nu_L^e \nu_L^e)_{\text{Non-Seesaw}} \sim (2-6) \times 10^{-3} \text{ eV}$$

$$\Theta_{13} \sim \frac{(2-6) \times 10^{-3} \text{ eV}}{5 \times 10^{-2} \text{ eV}}$$

$$\sim 0.03 - 0.1$$

ν -less 2β decay: $\Delta L = \pm 2$

$$m_{ee} = \left| \sum_i m_i U_{ei}^2 \right|$$

$$m_1 \sim \text{few} \times 10^{-3} \text{ eV}, \quad m_2 \approx (6-8) \times 10^{-3} \text{ eV}$$

$$m_3 \approx 5 \times 10^{-2} \text{ eV}$$

$$\Theta_{12} \approx 1/2, \quad \Theta_{13} \sim 0.03 - 0.1$$

$\Downarrow$

$$m_{ee} \sim (1 \text{ to } 6) \times 10^{-3} \text{ eV}$$